

PROPRIETARY MATERIAL NOT OPEN TO PUBLIC. TO BE OPENED ONLY BY EXAMINER OR OTHER AUTHORIZED PATENT AND TRADEMARK OFFICE EMPLOYEE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Anthony F. MENNINGER

Title:

SYSTEM, METHOD AND COMPUTER PROGRAM PRODUCT FOR A SECURE SUPPLY CHAIN MANAGEMENT

FRAMEWORK

RECEIVED

Appl. No.:

09/815,559

JAN 3 1 2003

Filing Date:

03/23/2001

Technology Center 2100

Examiner:

Unassigned

Art Unit:

2131

RECEIVED

PROPRIETARY INFORMATION DISCLOSURE STATEMENT JUL

1 2003

UNDER 37 CFR 1.56 AND MPEP §§ 724

GROUP 3600

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

The following is a listing of co-pending U.S. patent applications, which relate(s) to the above-identified application.

| SERIAL NO. | FILING DATE | SERIAL NO. | FILING DATE |
|------------|----------------|------------|----------------|
| 09/815,463 | March 23, 2001 | 09/815,777 | March 23, 2001 |
| 09/815,489 | March 23, 2001 | 09/815,792 | March 23, 2001 |
| 09/815,490 | March 23, 2001 | 09/815,813 | March 23, 2001 |
| 09/815,515 | March 23, 2001 | 09/815,830 | March 23, 2001 |
| 09/815,580 | March 23, 2001 | 09/815,845 | March 23, 2001 |
| 09/815,590 | March 23, 2001 | 09/815,864 | March 23, 2001 |
| 09/815,598 | March 23, 2001 | 09/815,893 | March 23, 2001 |
| 09/815,606 | March 23, 2001 | 09/815,894 | March 23, 2001 |
| 09/815,660 | March 23, 2001 | 09/815,897 | March 23, 2001 |
| 09/815,668 | March 23, 2001 | 09/815,899 | March 23, 2001 |
| 09/815,688 | March 23, 2001 | 09/815,973 | March 23, 2001 |
| 09/815,715 | March 23, 2001 | 09/815,989 | March 23, 2001 |
| 09/815,725 | March 23, 2001 | 09/816,021 | March 23, 2001 |
| 09/815,727 | March 23, 2001 | 09/816,033 | March 23, 2001 |
| 09/815,729 | March 23, 2001 | 09/816,048 | March 23, 2001 |
| 09/815,731 | March 23, 2001 | 09/816,069 | March 23, 2001 |
| 09/815,734 | March 23, 2001 | 09/816,075 | March 23, 2001 |
| 09/815,759 | March 23, 2001 | 09/816,083 | March 23, 2001 |
| | | | |





| SERIAL NO. | FILING DATE | SERIAL NO. | FILING DATE |
|------------|----------------|------------|----------------|
| 09/816,092 | March 23, 2001 | 09/816,430 | March 23, 2001 |
| 09/816,101 | March 23, 2001 | 09/816,431 | March 23, 2001 |
| 09/816,121 | March 23, 2001 | 09/816,434 | March 23, 2001 |
| 09/816,151 | March 23, 2001 | 09/816,454 | March 23, 2001 |
| 09/816,160 | March 23, 2001 | 09/816,455 | March 23, 2001 |
| 09/816,167 | March 23, 2001 | 09/816,471 | March 23, 2001 |
| 09/816,187 | March 23, 2001 | 09/816,488 | March 23, 2001 |
| 09/816,203 | March 23, 2001 | 09/816,491 | March 23, 2001 |
| 09/816,212 | March 23, 2001 | 09/816,495 | March 23, 2001 |
| 09/816,231 | March 23, 2001 | 09/816,503 | March 23, 2001 |
| 09/816,249 | March 23, 2001 | 09/816,507 | March 23, 2001 |
| 09/816,268 | March 23, 2001 | 09/816,536 | March 23, 2001 |
| 09/816,269 | March 23, 2001 | 09/816,537 | March 23, 2001 |
| 09/816,285 | March 23, 2001 | 09/816,543 | March 23, 2001 |
| 09/816,296 | March 23, 2001 | 09/816,553 | March 23, 2001 |
| 09/816,314 | March 23, 2001 | 09/816,555 | March 23, 2001 |
| 09/816,331 | March 23, 2001 | 09/816,560 | March 23, 2001 |
| 09/816,349 | March 23, 2001 | 09/816,561 | March 23, 2001 |
| 09/816,357 | March 23, 2001 | 09/816,564 | March 23, 2001 |
| 09/816,358 | March 23, 2001 | 09/816,565 | March 23, 2001 |
| 09/816,388 | March 23, 2001 | 09/816,567 | March 22, 2001 |
| 09/816,412 | March 23, 2001 | 09/816,576 | March 23, 2001 |
| 09/816,413 | March 23, 2001 | 09/816,582 | March 23, 2001 |
| 09/816,414 | March 23, 2001 | 09/816,881 | March 23, 2001 |
| 09/816,420 | March 23, 2001 | 09/816,896 | March 23, 2001 |
| 09/816,421 | March 23, 2001 | 09/816,922 | March 23, 2001 |
| 09/816,422 | March 23, 2001 | 09/816,944 | March 23, 2001 |
| 09/816,424 | March 23, 2001 | 09/816,976 | March 23, 2001 |
| 09/816,426 | March 23, 2001 | 09/834,465 | April 13, 2001 |
| 09/816,427 | March 23, 2001 | 09/834,600 | April 13, 2001 |
| 09/816,428 | March 23, 2001 | 09/834,838 | April 13, 2001 |
| 09/816,429 | March 23, 2001 | 09/834,924 | April 13, 2001 |

Applicant considers the aforementioned documents and the disclosure of their existence as pending applications to constitute proprietary information. This Information Disclosure Statement is not intended as an admission either that the listed applications constitute prior art against the claims of the present application or that the listed applications are material to patentability as defined in 37 C.F.R. §1.56(b).

Applicant assumes that the examiner will have access to all of the references cited in the applications. However, if the examiner wishes applicants to submit copies of the references cited in the listed applications, the examiner is encouraged to inform applicants.





Accompanying this Proprietary Information Disclosure Statement is a transmittal letter indicating that the materials contained herein are proprietary, as required by MPEP § 724.02.

TIMING OF THE DISCLOSURE

The instant Information Disclosure Statement is being filed in compliance with 37 CFR §1.97(B) prior to the mailing date of the first official action.

Applicant respectfully requests that the Examiner consider the foregoing information and provide in the next official communication the information set forth in MPEP § 724.04(a), particularly the information under item (3), so that the applicant can, if appropriate, subsequently file a petition to expunge those materials, as provided according to MPEP § 724.05.

Respectfully submitted,

JAN 3 1 2003

Technology Center 2100

FOLEY & LARDNER

Customer Number: 22428

Telephone:

(202) 672-5485

Facsimile:

(202) 672-5399

William T. Ellis
Attorney for Applicant
Registration No. 26,874

CROUP 3600

Should additional fees be necessary in connection with the filing of this paper, or if a petition for extension of time is required for timely acceptance of same, the Commissioner is hereby authorized to charge Deposit Account No. 19-0741 for any such fees; and applicant(s) hereby petition for any needed extension of time.



WEMARK.

PTO/SB/08 (08-00)

Approverse through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE resons are required to respond to a collection of information unless it contains a valid OMB control

Under the Paperwork Reduction Act of 1995, no

Substitute for form 1449B/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Date Submitted: October 23, 2002

(use as many sheets as necessary)

Sheet

| Complete if Known | | | | | |
|------------------------|---------------------------|---|--|--|--|
| Application Number | 09/815,559 | | | | |
| Filing Date | 03/23/2001 | | | | |
| First Named Inventor | Anthony F. MENNINGER | • | | | |
| Group Art Unit | 2131 3677 | | | | |
| Examiner Name | Unassigned GORT | | | | |
| Attorney Docket Number | -06 2834-019 4 | - | | | |

| | U.S. PATENT DOCUMENTS | | | | | | |
|-----------------------|--------------------------|-------------|---|--|---------------------------|--|--|
| | | U.S. Patent | Document | | Date of Publication of | Pages, Columns, Lines, Where Relevant | |
| Examiner Initials* | Cite No. ¹ | Number | Kind Code ² (if known) | Name of Patentee or Applicant of Cited Document | Cited Document MM-DD-YYYY | Passages or Relevant Figures Appear | |
| EY | A1 | 5,950,173 | | Perkowski | 09/07/1999 | | |
| 82 | A2 | 6,084,528 | | Beach et al. | 07/04/2000 | | |
| 0 | | | | | REC | EIVED | |
| | | | | | OCT | 2 4 2002 | |
| | | | | | Technolog | y Center 2100 | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |

| | FOREIGN PATENT DOCUMENTS | | | | | | | |
|-----------|--------------------------|-------------------------|---------|--------------------------------------|---|--|--|----------------|
| Examiner | Cite | Foreign Patent Document | | | Foreign Patent Document Name of Patentee or | Date of Publication of Cited Document | Pages, Columns, Lines, Where Relevant | |
| Initials* | No.1 | Office ³ | Number⁴ | Kind Code ⁵ (if known) | Applicant of Cited Documents | MM-DD-YYYY | Passages or Relevant Figures Appear | T ⁶ |
| | | | | | | | | |
| | | | | | | | | 1 |
| | | | | | | | | - |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | - | + |
| • | | | | | | | | |

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

| Examiner Signature | GO 2D | Date Considered | 2/20/04 |
|-----------------------|-------|--------------------|---------|
| - 3 | | | |

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

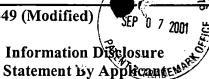
1 Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, D.C. 20231.

Form 1449 (Modified)

2001

SEP 0



(Use Several Sheets if Necessary)

Atty. Docket No. RSI1P073

Applicant:

Anthony Frank Menninger

Filing Date: 3/23/01

Application No.: 09/815,559

Group Art Unit:

2131

U.S. Patent Documents

| Examiner | | | | | | Sub- | Filing |
|----------|-----|------------|----------|-----------------|-------|--------|----------|
| Initial | No. | Patent No. | Date | Patentee | Class | class | Date |
| ED | A | 6,167,380 | 12/26/00 | Kennedy et al. | 705 | 10 | 02/18/97 |
| 1 | В | 6,151,582 | 11/21/00 | Huang et al. | 705 | 8 | 02/24/97 |
| | С | 6,115,690 | 09/05/00 | Wong | 705 | 7 | 12/22/97 |
| | D | 5,983,198 | 11/09/99 | Mowery et al. | 705 | 22 | 04/23/96 |
| | E | 5,974,395 | 10/26/99 | Bellini et al. | 705 | 9 | 08/21/96 |
| | F | 5,963,919 | 10/05/99 | Brinkley et al. | 705 | 28 | 12/23/96 |
| | G | 5,953,707 | 09/14/99 | Huang et al. | 705 | 10 | 02/21/97 |
| | Н | 5,914,878 | 06/22/99 | Yamamoto et al. | 364 | 468.13 | 07/22/97 |
| | I | 5,884,300 | 03/16/99 | Brockman | 707 | 2 | 05/01/97 |
| | J | 5,774,868 | 06/30/98 | Cragun et al. | 705 | 10 | 12/23/94 |
| | K | 5,771,172 | 06/23/98 | Yamamoto et al. | 364 | 468.13 | 07/22/97 |
| | L | 5,765,143 | 06/09/98 | Sheldon et al. | 705 | 28 | 03/10/95 |
| | M | 5,687,322 | 11/11/97 | Deaton et al. | 395 | 214 | 06/01/95 |

Foreign Patent or Published Foreign Patent Application

| Examiner | | Document | Publication | Country or | | Sub- | Trans | slation |
|----------|-----------|----------|-------------|-----------------|-----------|-------|-------|---------|
| Initial | No. | No. | Date | Patent Office | Class | class | Yes | No |
| 21 | N | 00/51038 | 31.08.00 | WO- PCT | G06F | 17/40 | | |
| E) | 0 | 99/67729 | 29.12.99 | WO-PCT | G06F | 17/60 | | |
| Examiner | \sim 0 | <u> </u> | | Date Considered | | | | |
| | <u>ex</u> | 1 | <u></u> | 7/20/ | 04 | | | |

TPA#1709999.01

Form 1449 (Modified)

(Modified) 827 20

Information Disclosure Statement By Applicant

(Use Several Sheets if Necessary)

Atty. Docket No.

RSI1P073
Applicant:

Anthony Frank Menninger

Filing Date: 3/23/01

Group Art Unit:

Application No.:

09/815,559

2131

Other Documents

| Examiner | Γ | | | | | |
|--------------------------|------------|--|--|--|--|--|
| Examiner Initial | No. | Author, Title, Date, Place (e.g. Journal) of Publication | | | | |
| | P | www.zdnet.com/ecommerce/stories/main/0,10475,2601706,00.html - | | | | |
| | 1 | "Supply chain gets cold cuts" | | | | |
| (2) | | Supply shall gots cold cats | | | | |
| | Q | http://www.foodlogistics.com/archives/jul00/jul00-coverstory.html - "Cover | | | | |
| | | Story: Supply Chain Management Quarterly Efficient Foodservice Response | | | | |
| (| | Moving Towards Efficiency" | | | | |
| | R | http://www.localbusiness.com/Story/Print/0,1197,NOCITY 55953,00.html | | | | |
| | | "MindFlow: Purchasing Savvy" | | | | |
| | S | http://freespace.virgin.net/heathcote.echain/white_paper_4.htm "e-Chain | | | | |
| | | Solutions Limited, White Paper 4 - Efficient Foodservice Response (EFR)" | | | | |
| | T | http://www.startmag.com/v3n9/v3n9p060.asp "Start - November 1999, v3n9 | | | | |
| | | The Virtual Supply Chain" | | | | |
| | U | http://www.p21.com/acclaim/supply-chain.html "Supply Chain | | | | |
| | | Management" | | | | |
| | V | http://www.sap.com/press/fs_scm.htm "mySAP.com TM Supply Chain | | | | |
| | | Management" | | | | |
| | W | http://www.foodlogistics.com/esupply/esupply/section3.html "An E- | | | | |
| | | Powered Supply Chain" | | | | |
| | X | http://www.supplychainbrain.com/news/ "Supply Chain Brain Quick Read | | | | |
| | | Newsletter February 21, 2001" | | | | |
| Examiner Date Considered | | | | | | |
| | <u> 4)</u> | 2/70/04 | | | | |

Examiner: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

